

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

10/529011

| | |
|-------------------------|-----------------------------------|
| Int. Application Number | PCT/GB03/04218 |
| Int. Appl. Filing Date | 09/29/2003 |
| First Named Inventor | Ralph BRAUN |
| Group Art Unit | Unassigned 1632 |
| Examiner Name | Unassigned Wu-Cheng Winston Shen/ |

Sheet 1 of 1

Attorney Docket Number 036481-0165

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| | | Number | Kind Code ² (if known) | | | |
| /W.S./ | A1 | 5,162,222 | A | Linda GUARINO et al. | 11-10-1992 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|--|--|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| /W.S./ | A2 | FR | 2 715 664 | A | PROTEINE PERFORMANCE SA | 08-04-1995 | | |
| /W.S./ | A3 | WO | 98/30707 | A | UNIV LONDON et al. | 07-16-1998 | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | T ⁶ |
|--------------------|-----------------------|--|--|--|--|--|----------------|
| /W.S./ | A4 | VAN DRUNEN et al., "Immunization of livestock with DNA vaccines: current studies and future prospects," <u>Vaccine</u> , March 21, 2001, pp. 2474-2479, Vol. 19, No. 17-19, Butterworth Scientific, Guildford, GB | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| | | | |
|--------------------|-------------------------|-----------------|------------|
| Examiner Signature | /Wu-Cheng Winston Shen/ | Date Considered | 04/02/2007 |
|--------------------|-------------------------|-----------------|------------|

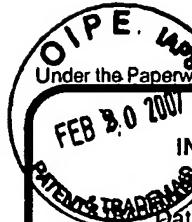
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANTDate Submitted: February 20, 2007
(use as many sheets as necessary)

Sheet 1 of 4

Complete if Known

| | |
|------------------------|------------------------|
| Application Number | 10/529,011 |
| Filing Date | 9/29/2003 |
| First Named Inventor | Ralph BRAUN |
| Art Unit | 1632 |
| Examiner Name | Shen, Wu Cheng Winston |
| Attorney Docket Number | 036481-0165 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|--|
| | | Number-Kind Code ² (if known) | | | |
| /W.S./ | A1 | US-5,057,540 | 10-15-1991 | Kensil et al. | |
| /W.S./ | A2 | US-5,171,568 | 12-15-1992 | Burke et al. | |
| /W.S./ | A3 | US-5,328,688 | 07-12-1994 | Roizman | |
| /W.S./ | A4 | US-5,378,814 | 01-03-1995 | Houghton et al. | |
| /W.S./ | A5 | US-5,865,796 | 02-02-1999 | McCabe | |
| /W.S./ | A6 | US-5,922,576 | 07-13-1999 | He et al. | |

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Application Document | Filing Date of Cited Document MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--|--|--|
| | | Serial Number-Kind Code ² (if known) | | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁵ |
|--------------------|-----------------------|--|--------------------------------|---|--|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| /W.S./ | A7 | WO 89/04669 | 06-01-1989 | Chiron Corporation | | |
| /W.S./ | A8 | WO 90/11089 | 10-04-1990 | Chiron Corporation | | |
| /W.S./ | A9 | WO 90/14436 | 11-29-1990 | Chiron Corporation | | |
| /W.S./ | A10 | WO 92/16636 | 10-01-1992 | Immunology Limited | | |
| /W.S./ | A11 | WO 93/18759 | 09-30-1993 | Baylor College of Medicine | | |
| /W.S./ | A12 | WO 93/19768 | 10-14-1993 | The Regents of the Univ. of California | | |
| /W.S./ | A13 | WO 94/12202 | 06-09-1994 | University of Dundee | | |
| /W.S./ | A14 | WO 96/29421 | 09-26-1996 | Cantab Pharmaceuticals Research Limited | | |
| /W.S./ | A15 | WO 96/40955 | 12-19-1996 | Frank L. GRAHAM | | |
| /W.S./ | A16 | WO 98/21345 | 05-22-1998 | The General Hospital Corporation | | |
| /W.S./ | A17 | WO 01/32221 A1 | 05-10-2001 | Powderject Vaccines, Inc. | | |
| /W.S./ | A18 | WO 01/46449 A1 | 06-28-2001 | Biovex Limited | | |

| | | | |
|--------------------|-------------------------|-----------------|------------|
| Examiner Signature | /Wu-Cheng Winston Shen/ | Date Considered | 04/02/2007 |
|--------------------|-------------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|---|----|---|------------------------|------------------------|
| Substitute for form 1449/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | 10/529,011 |
| Date Submitted: February 20, 2007 | | | | Filing Date | 9/29/2003 |
| (use as many sheets as necessary) | | | | First Named Inventor | Ralph BRAUN |
| Sheet | 2 | of | 4 | Art Unit | 1632 |
| | | | | Examiner Name | Shen, Wu Cheng Winston |
| | | | | Attorney Docket Number | 036481-0165 |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| /W.S./ | A19 | WO 01/46450 A1 | 06-28-2001 | Biovex Limited | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| NON PATENT LITERATURE DOCUMENTS | | | | | | |
|---------------------------------|-----------------------|---|--|--|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | T ⁶ |
| /W.S./ | A20 | BAER, R., et al., "DNA Sequence and Expression of the B95-8 Epstein-Barr Virus Genome", <i>Nature</i> , July 19, 1984, Vol. 310, pp. 207-211. | | | | |
| /W.S./ | A21 | BIXLER et al., "Synthetic Peptide Representing a T-Cell Epitope of CRM197 Substitutes as Carrier Molecule in a Haemophilus Influenzae Type B (HIB) Conjugate Vaccine", <i>Adv. Exp. Med. Biol.</i> , (1989), Vol. 251, pp. 175-180. | | | | |
| /W.S./ | A22 | CHEE, M.S., et al., "Analysis of the Protein-Coding Content of the Sequence of Human Cytomegalovirus Strain AD169", <i>Current Topics in Microbiology and Immunology</i> , (1990), Vol. 154, pp. 125-169. | | | | |
| /W.S./ | A23 | COFFIN, R.S., et al., "Herpes Simplex Virus-Based Vectors – Genetic Manipulation of the Nervous System", <i>Academic Press Limited</i> , (1996), ISBN 0-12-437165-5, pp. 99-113. | | | | |
| /W.S./ | A24 | DAVIS, et al., "CpG DNA Is a Potential Enhancer of Specific Immunity in Mice Immunized with Recombinant Hepatitis B Surface Antigen" <i>The American Association of Immunologists</i> , 1998, <i>The Journal of Immunology</i> , (1998), Vol. 160, pp. 870-876. | | | | |
| /W.S./ | A25 | DAVISON, Andrew J., et al., "The Complete DNA Sequence of Varicella-Zoster Virus", <i>J. gen. Virol.</i> , (1986), Vol. 67, pp. 1759-1816. | | | | |
| /W.S./ | A26 | ERTL, C. J., et al., "Novel Vaccine Approaches", <i>The Journal of Immunology</i> , (1996), Vol. 156, pp. 3579-3582. | | | | |
| /W.S./ | A27 | FYNAN, E. F., et al., "DNA Vaccines: Protective Immunizations by Parenteral, Mucosal, and Gene-Gun Inoculations", <i>Proc. Natl. Acad. Sci. USA</i> , December 1993, Vol. 90, pp. 11478-11482. | | | | |
| /W.S./ | A28 | FYNAN, E. F., et al., "DNA Vaccines: A Novel Approach to Immunization", <i>Int. J. Immunopharmac.</i> , (1995), Vol. 17, No. 2, pp. 79-83. | | | | |

| | | | |
|--------------------|-------------------------|-----------------|------------|
| Examiner Signature | /Wu-Cheng Winston Shen/ | Date Considered | 04/02/2007 |
|--------------------|-------------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|--|--|--|------------------------|------------------------|
| Substitute for form 1449/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | 10/529,011 |
| Date Submitted: February 20, 2007 (use as many sheets as necessary) | | | | Filing Date | 9/29/2003 |
| | | | | First Named Inventor | Ralph BRAUN |
| | | | | Art Unit | 1632 |
| | | | | Examiner Name | Shen, Wu Cheng Winston |
| Sheet 3 of 4 | | | | Attorney Docket Number | 036481-0165 |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|-----------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | | T ² |
| /W.S./ | A29 | HASSETT, D. E., et al., "DNA Immunization", <i>Trends in Microbiol.</i> , August 1996, Vol. 8, pp. 307-312. | | |
| /W.S./ | A30 | HOUGHTON, Michael, et al., "Molecular Biology of the Hepatitis C Viruses: Implications for Diagnosis, Development and Control of Viral Disease", <i>Hepatology</i> , (1991), Vol. 14, No. 2, pp. 381-388. | | |
| /W.S./ | A31 | IMOTO, M., et al., "Total Synthesis of <u>Escherichia Coli</u> Lipid A", <i>Tetrahedron Letters</i> , (1985), Vol. 26, No. 12, pp. 1545-1548. | | |
| /W.S./ | A32 | KILPATRICK, K. E., et al., "Rapid Development of Affinity Matured Monoclonal Antibodies Using RIMMS", <i>Hybridoma</i> , (1997), Vol. 16, No. 4, pp. 381-389. | | |
| /W.S./ | A33 | KILPATRICK, K. E., et al., "Gene Gun Delivered DNA-Based Immunizations Mediate Rapid Production of Murine Monoclonal Antibodies to the Flt-3 Receptor", of Murine Monoclonal Antibodies to the Flt-3 Receptor", <i>Hybridoma</i> , (1998), Vol. 17, No. 6, pp. 569-576. | | |
| /W.S./ | A34 | KRIEG, A. M., et al., "CpG Motifs in Bacterial DNA Trigger Direct B-Cell Activation", <i>Nature</i> , April 6, 1005, Vol. 374, pp. 546-549. | | |
| /W.S./ | A35 | LACHMANN, R. H., et al., "The Use of Herpes Simplex Virus-Based Vectors for Gene Delivery to the Nervous System", <i>Molecular Medicine Today</i> , September, (1997), Vol. 3, No. 9, pp. 404-411. | | |
| /W.S./ | A36 | LATCHMAN, D. S., et al., "Gene Delivery and Gene Therapy with Herpes Simplex Virus-Based Vectors", <i>Gene</i> , (2001), Vol. 264, pp. 1-9. | | |
| /W.S./ | A37 | MANICKAN, Elanchezhiyan, et al., "DNA Vaccines – A Modern Gimmick or a Boon to Vaccinology?", <i>Critical Reviews in Immunology</i> , (1997), Vol. 17, pp. 139-154. | | |
| /W.S./ | A38 | MCGEOCH, D.J., et al., "The Complete DNA Sequence of the Long Unique Region in the Genome of Herpes Simplex Virus Type 1", <i>J. gen. Virol.</i> , (1988), Vol. 69, pp. 1531-1574. | | |
| /W.S./ | A39 | MEDZHITOY, R., et al., "Innate Immunity: Impact on the Adaptive Immune Response", <i>Current Opinion in Immunology</i> , (1997), Vol. 9, pp. 4-9. | | |
| /W.S./ | A40 | MODROW, S., "Computer-Assisted Analysis of Envelope Protein Sequences of Seven Human Immunodeficiency Virus Isolates: Prediction of Antigenic Epitopes in Conserved and Variable Regions", <i>Journal of Virology</i> , Feb. 1987, Vol. 61, No. 2, pp. 570-578. | | |

| | | | |
|--------------------|------------------------|-----------------|------------|
| Examiner Signature | Wu-Cheng Winston Shen/ | Date Considered | 04/02/2007 |
|--------------------|------------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|---|----|---|------------------------|------------------------|
| Substitute for form 1449/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | 10/529,011 |
| Date Submitted: February 20, 2007 (use as many sheets as necessary) | | | | Filing Date | 9/29/2003 |
| | | | | First Named Inventor | Ralph BRAUN |
| | | | | Art Unit | 1632 |
| | | | | Examiner Name | Shen, Wu Cheng Winston |
| | | | | Attorney Docket Number | 036481-0165 |
| Sheet | 4 | of | 4 | | |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|-----------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | | T ⁸ |
| /W.S./ | A41 | PAYNE, L. G., et al., "Poly[di(carboxylatophenoxy)phosphazene] (PCPP) is a Potent Immunoadjuvant for an Influenza Vaccine", <i>Vaccine</i> , (1998), Vol. 16, No. 1, pp. 92-98. | | |
| /W.S./ | A42 | PERTMER, T. M., et al., "Gene Gun-Based Nucleic Acid Immunization: Elicitation of Humoral and Cytotoxic T Lymphocyte Responses Following Epidermal Delivery of Nanogram Quantities of DNA", <i>Vaccine</i> , (1995) Vo. 13, No. 15, pp. 1427-1430. | | |
| /W.S./ | A43 | RAZ, E., et al., "Intradermal Gene Immunization: The Possible Role of DNA Uptake in the Induction of Cellular Immunity to Viruses", <i>Proc. Natl. Acad. Sci. USA</i> , September 1994, Vol. 91, pp. 9519-9523. | | |
| /W.S./ | A44 | THOMAS, S. K., "Herpes Simplex Virus Latency-Associated Transcript Encodes a Protein Which Greatly Enhances Virus Growth, Can Compensate for Deficiencies in Immediate-Early Gene Expression, and is Likely to Function During Reactivation from Virus Latency", <i>Journal of Virology</i> , August 1999, Vol. 73, No. 8, pp. 6618-6625. | | |
| /W.S./ | A45 | WOLFF, J. A., "Direct Gene Transfer into Mouse Muscle in Vivo", <i>Science</i> , March 23, 1990, Vol. 247, pp. 1465-1468. | | |
| /W.S./ | A46 | YANG, N-S, et al., "In vivo and in vitro Gene Transfer to Mammalian Somatic Cells by Particle Bombardment", <i>Proc. Natl. Acad. Sci. USA</i> , December 1990, Vol. 87, pp. 9568-9572. | | |

| | | | |
|--------------------|-------------------------|-----------------|------------|
| Examiner Signature | /Wu-Cheng Winston Shen/ | Date Considered | 04/02/2007 |
|--------------------|-------------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.